



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

May 24, 2005

OBLON, SPIVAK, MCCLELLAND, MAIER &
NEUSTADT, P.C.
1940 DUKE STREET
ALEXANDRIA, VA 22314
US

Dear Sir/Madam,

Your refund request for 10523393 in the amount of \$100.00 has been denied .

The fees for claims were increased 12/04 charges are correct.

Sincerely,

A handwritten signature in cursive script, reading "Rita White", is written over the word "Sincerely,".

RITA WHITE
PCT - National
703 305-3668

2005 MAR 14 PM 11:55

March 14, 2005



ATTORNEYS AT LAW
KATHLEEN A. MORSBERGER
CONTROLLER
(703) 412-6494
KMORSBERGER@OBLON.COM

UNITED STATES PATENT AND TRADEMARK OFFICE
Box 16
Washington, DC 20231

Attn: Frank Lebron
Refund Department

Re: Deposit Account #150030

Dear Sir or Madame:

Enclosed is a copy of a portion of our Deposit Account Statement of February, 2005. Please review the highlighted charge on Serial Number 10/523,393 in the amount of \$100.00 on fee code #1615.

A preliminary amendment was filed with the new PCT application on February 3, 2005, which canceled claims 1-24 and added new claims 25-48 for a total claim count of 24 claims which were paid for at the time of filing. Therefore, no additional claim fees are required.

Please investigate this charge and kindly refund \$100.00 to Deposit Account #150030. Copies of the appropriate paperwork are attached. If you have questions, please do not hesitate to contact me. My phone number is 703-412-6296.

Sincerely,
OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

A handwritten signature in cursive script that reads "Debra J. Noel".

Debra J. Noel
Accounting Department

Enclosures



**United States
Patent and
Trademark Office**

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USPTO
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Deposit Account Statement

Requested Statement Month: February 2005
 Deposit Account Number: 150030
 Name: NORMAN F. OBLON
 Attention:
 Address: 1940 DUKE STREET
 City: ALEXANDRIA
 State: VA
 Zip: 22314

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
02/01	78	10503936	256748US6PCT	1201	\$2,000.00	\$16,574.71
02/01	79	10503936	256748US6PCT	1202	\$350.00	\$16,224.71
02/01	192	10880101	254622WO/TEP/FF	8007	\$20.00	\$16,204.71
02/01	245	60584469	255455US/KQU	8007	\$60.00	\$16,144.71
02/01	342	60538457	248191USUS/KQU	8007	\$20.00	\$16,124.71
02/01	343	60559950	251700US/KQU	8007	\$20.00	\$16,104.71
02/02	5	09889141	211141US2PCT	1806	\$180.00	\$15,924.71
02/02	6	10940012	258658US25CONT	1202	\$200.00	\$15,724.71
02/02	262	60573420	253699US/KQU	8007	\$40.00	\$15,684.71
02/03	85	10760499	247798US2SX CONT	1201	\$200.00	\$15,484.71
02/04	10	09836470	205801USDIV	1202	\$500.00	\$14,984.71
02/04	34	11045337	265332US90	1202	\$1,100.00	\$13,884.71
02/04	82	10498357	254769US6PCT	1617	\$130.00	\$13,754.71
02/04	167	10764467	245417US/KQU	8007	\$20.00	\$13,734.71
02/04	169	10764569	245418US/KQU	8007	\$20.00	\$13,714.71
02/04	174	10780640	249160US/KQU	8007	\$60.00	\$13,654.71
02/04	176	60557687	250390US/KQU	8007	\$20.00	\$13,634.71
02/04	177	10796064	248424US/KQU	8007	\$80.00	\$13,554.71
02/04	275	10764527	245419US/KQU	8007	\$20.00	\$13,534.71
02/07	26	PAYMENT		9203	-\$20,000.00	\$33,534.71
02/07	51	10002434	263467US8	1463	\$70.00	\$33,464.71
02/07	140	10212399	263471US8	1463	\$70.00	\$33,394.71
02/07	185	60566077	252645US/KQU	8007	\$60.00	\$33,334.71
02/07	381	0155740001	254464MX/TEP/FF	8014	\$25.00	\$33,309.71
02/08	4	10488242	249173US2XPCT	1463	\$70.00	\$33,239.71
02/08	136	10408218	236211US30/JEM	1251	\$120.00	\$33,119.71
02/08	173	10523108	262509US2PCT	1633	\$100.00	\$33,019.71
02/09	11	10355304	233504USOSCO	1252	\$450.00	\$32,569.71

02/10 1	10279098	229719US3CON	1806	\$180.00	\$32,389.71
02/10 2	10495754	253653US0PCT	1615	\$700.00	\$31,689.71
02/10 2	P110116		1463	\$70.00	\$31,619.71
02/10 3	10147874	223316US0	1202	\$50.00	\$31,569.71
02/10 141	10500863	255939USPCT	1617	\$130.00	\$31,439.71
02/11 1	10419849	236940US90	1251	\$120.00	\$31,319.71
02/11 31	PCT/US03/16209	224 317 WO	9204	-\$10.00	\$31,329.71
02/11 74	PCT/US03/15392	218 216 WO	9204	-\$60.00	\$31,389.71
02/11 76	PCT/US03/15391	222 038 WO	9204	-\$60.00	\$31,449.71
02/11 199	60560274	251482USPROV/KQU	8007	\$20.00	\$31,429.71
02/11 310	10523393	265017US6PCT	1615	\$100.00	\$31,329.71
02/11 316	10523394	265436US90PCT	1616	\$100.00	\$31,229.71
02/11 320	10523395	265470US0PCT	1633	\$100.00	\$31,129.71
02/11 344	10523392	264741US2PCT	1616	\$100.00	\$31,029.71
02/11 473	PCT/US04/11238	235886WO	1605	\$600.00	\$30,429.71
02/11 474	PCT/US04/11238	235886WO	1705	\$162.00	\$30,267.71
02/11 496	10523417	264738US0PCT	1633	\$100.00	\$30,167.71
02/14 199	10523415	264930US3 PCT	1614	\$100.00	\$30,067.71
02/14 204	10523414	264689US0PCT	1617	\$100.00	\$29,967.71
02/14 263	10523585	265502US2PCT	1616	\$100.00	\$29,867.71
02/14 318	10523586	265146US3PCT	1615	\$100.00	\$29,767.71
02/15 1	10612926	239954US2	1806	\$180.00	\$29,587.71
02/15 1	10450709	239267US2PCT	1251	\$120.00	\$29,467.71
02/15 4	10617190	240331US0	1806	\$180.00	\$29,287.71
02/15 5	11017847	263755US0	1202	\$200.00	\$29,087.71
02/15 11	10367706	232794US3	1202	\$950.00	\$28,137.71
02/15 12	10367706	232794US3	1201	\$600.00	\$27,537.71
02/15 255	10523794	264508US0PCT	1633	\$100.00	\$27,437.71
02/16 1	10502052	255861US0PCT	1615	\$100.00	\$27,337.71
02/16 1	10921992	257463US90CONT	1806	\$180.00	\$27,157.71
02/16 3	10685599	243712US2S DIV	1251	\$120.00	\$27,037.71
02/16 8	09748243	201216US2	1806	\$180.00	\$26,857.71
02/16 25	11023486	263965US0DIV	1203	\$360.00	\$26,497.71
02/16 53	10986205	261023US/KQU	8007	\$20.00	\$26,477.71
02/16 412	10523919	264737USOPCT	1633	\$100.00	\$26,377.71
02/16 424	10523974	265523US2PCT	1633	\$100.00	\$26,277.71
02/16 428	10523810	265615US3PCT	1633	\$100.00	\$26,177.71
02/16 432	10523971	265012US0PCT	1633	\$100.00	\$26,077.71
02/16 442	10523931	265206USOPCT	1614	\$100.00	\$25,977.71
02/16 446	10523809	265519US2PCT	1614	\$100.00	\$25,877.71
02/16 456	10523807	259826US2PCT	1633	\$100.00	\$25,777.71
02/16 466	10523927	264288US0PCT	1633	\$100.00	\$25,677.71
02/16 471	10523925	264744US0PCT	1633	\$100.00	\$25,577.71
02/17 11	76129195	197365US	9204	-\$100.00	\$25,677.71
02/17 14	10523995	265078US0PCT	1633	\$100.00	\$25,577.71
02/17 49	11028539	264201US0DIV	1081	\$750.00	\$24,827.71
02/17 100	60584469	255455US/KQU	8007	\$60.00	\$24,767.71
02/17 106	60565842	252514US/KQU	8007	\$20.00	\$24,747.71

Due Date February 6, 2005

OSMM&N File No. 265017US6PCT

By GJM/lpp/FF

Serial No. New U.S. PCT Application based on PCT/FR03/02415

In the matter of the Application of Thomas BERTIN-MOUROT, et al.
For FLAT LAMP, MANUFACTURING PROCESS AND APPLICATION

The following has been received in the U.S. Patent Office on the date stamped hereon:

- 21 pgs. Specification 24 Claims (English Translation) pgs. Sequence Listing
- Application Data Sheet
- Notice of Priority
- Dep. Acct. Order Form
- Credit Card Payment Form for \$1,230.00
- PCT Transmittal Letter
- Preliminary Amendment
- PCT/IB/304
- PCT/IB/308
- International Search Report
- Request for Consideration of Documents Cited in International Search Report

SERIAL NO. _____

DATE RECEIVED _____



[Handwritten signature]

CLAIM CALCULATION SHEET

INTERNATIONAL PCT NO:

PCT/FR03/02415

DOCKET NO:

20 205017nS6 PLT

CLAIM	INTERNATIONAL PCT APPLICATION AS FILED		AFTER AMENDMENT IN INTERNATIONAL PHASE		AFTER PRELIMINARY AMENDMENT		AFTER 1 ST AMENDMENT	
	IND.	DEP.	IND.	DEP.	IND.	DEP.	IND.	DEP.
1	1							
2		1						
3		1						
4		2						
5		1						
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21		1						
22		1						
23		1						
24		1						
25					1			
26						1		

TOTAL IND.

1

TOTAL DEP.

24

TOTAL CLAIMS

25

MULTIPLE DEPENDENT CLAIM FEE PAID

☐ YES

☒ NO

CLAIM	INTERNATIONAL PCT APPLICATION AS FILED		AFTER AMENDMENT IN INTERNATIONAL PHASE		AFTER PRELIMINARY AMENDMENT		AFTER 1 ST AMENDMENT	
	IND.	DEP.	IND.	DEP.	IND.	DEP.	IND.	DEP.
27						1		
28						1		
29						1		
30						1		
31						1		
32						1		
33						1		
34						1		
35						1		
36						1		
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52								
53								
54								

TOTAL
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DEP.

TOTAL

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

265017US6PCT

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.
PCT/FR03/02415INTERNATIONAL FILING DATE
30 July 2003PRIORITY DATE CLAIMED
6 August 2002

TITLE OF INVENTION

FLAT LAMP, MANUFACTURING PROCESS AND APPLICATION

APPLICANT(S) FOR DO/EO/US

Thomas BERTIN-MOUROT, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☐ Express Mail Label No.
23. ☒ Other items or information:

Application Data Sheet/Notice of Priority/Request for Consideration/PCT/IB/304/PCT/IB/308

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/FR03/02415		ATTORNEY'S DOCKET NUMBER 265017US6PCT	
24. The following fees are submitted:				Applicant use	Office use
<input checked="" type="checkbox"/> a) Basic national fee \$300.00				\$ 300.00	
<input checked="" type="checkbox"/> b) Examination fee \$200.00				\$ 200.00	
<input checked="" type="checkbox"/> c) Search fee \$500.00				\$ 400.00	
TOTAL OF ABOVE CALCULATIONS = \$1000.00				\$ 900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)		RATE	
- 100 =	/50 =			x \$250.00	\$ 0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	24 - 20 =	4	x	\$50.00	\$ 200.00
Independent claims	1 - 3 =	0	x	\$200.00	\$ 0.00
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00				\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,230.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 0.00	
SUBTOTAL =				\$ 1,230.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00	
TOTAL NATIONAL FEE =				\$ 1,230.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$ 0.00	
TOTAL FEES ENCLOSED =				\$ 1,230.00	
Amount to be refunded:				\$	
Amount to be charged:				\$	
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is _____</p> <p>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0030</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
CUSTOMER NUMBER 22850			SIGNATURE <u>Gregory J. Maier</u> NAME <u>25,599</u> REGISTRATION NUMBER		

United States Patent & Trademark Office
Credit Card Payment Form

Credit Card Information

Credit Card Type: ☒ American Express

Credit Card Account #: 3787-350712-61007

Credit Card Expiration Date: December 31, 2005

Name as it Appears on Credit Card: Prue, LaYonda P.

Payment Amount: \$(US Dollars): 1,230.00

Signature:

Date: February 3, 2005

Credit Card Billing Address

Street Address 1: Oblon, Spivak, McClelland, Maier & Neustadt, P.C.

Street Address 2: 1940 Duke Street

City: Alexandria

State: Virginia

Zip/Postal Code: 22314

Country: U.S.A.

Daytime Phone #: (703) 413-3000 Accounting Dept.

Fax #: (703) 413-2220

Request and Payment Information

Description of Request and Payment Information:
New U.S. PCT Application

Application No.:

Patent/Registration No.:

Docket No.: 265017US

If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form, the United States Patent & Trademark Office will not be liable in the event that the credit card number becomes public knowledge.

Form #: 28875